

SEC-1/187(2)/2020/ 376

Dated: October 19, 2020

लिस्टिंग विभाग, नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड एक्सचेंज प्लाजा, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - ४०० ०५१	कॉर्पोरेट संबंध विभाग बीएसई लिमिटेड पहली मंजिल, फीरोज जीजीभोय टावर्स दलाल स्ट्रीट, फोर्ट, मुंबई - ४०० ००१
स्क्रिप कोड—RECLTD	स्क्रिप कोड—532955
<b>Listing Department,</b> National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (East), <b>Mumbai – 400 051.</b>	<b>Corporate Relationship Department</b> BSE Limited 1 <sup>st</sup> Floor, Phiroze Jeejeebhoy Towers Dalal Street, Fort, <b>Mumbai – 400 001.</b>
<b>Scrip Code—RECLTD</b>	<b>Scrip Code—532955</b>

**Sub: Submission of Shareholding Pattern for the quarter ended on September 30, 2020.**

Dear Sir(s),

In terms of Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Shareholding Pattern in the prescribed format for the quarter ended on September 30, 2020.

This is for information and records of Stock Exchanges.

Thanking you,

Yours faithfully,



(J.S. Amitabh)

Executive Director & Company Secretary

Encl: as above

**Regional Offices:** Bangalore, Bhopal, Bhubaneswar, Chennai, Guwahati, Hyderabad, Imphal, Jaipur, Jammu, Kolkata, Lucknow, Mumbai, Panchkula, Patna, Raipur, Ranchi, Thiruvananthapuram & Vijayawada

**State Offices:** Dehradun, Itanagar, Shillong, Shimla, Vadodara & Varanasi

**Training Centre:** REC Institute of Power Management & Training (RECIPMT), Hyderabad

1. Name of Listed Entity: REC Limited
2. Scrip Code/Name of Scrip/Class of Security: RECLTD
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity	N o. of	No. of shares underlying	Total nos. shares	Shareholding as a % of	Number of Voting Rights held in each class of securities			No. of Shares Underlying	Shareholding, as a % assuming full	Number of Locked In shares		Number of Shares		Number of equity shares held
			shares	equit	Depositor	held	(calculate	(IX)	Outstanding convertible securities (including Warrants) (X)	of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	N o . ( a )	As a % of total Shares held (b)	No. (a)	As a % of total Shares held	(XIV)		
			held	shar	Receipts	(VII) =	per										
			(IV)	held	(VI)	(IV)+(V)+	1957)										
			(V)		(VI)	(VIII)	As a % (A+B+C 2)										
			No of Voting Rights		Total as a % of (A+B+ C)												
Class eg: X	C / a s s e g : y	Total															
A	Promoter & Promoter Group	1	1039495247	0	0	1039495247	52.63	1039495247	0	1039495247	52.63	0	52.63	0	0		1039495247
B	Public	343887	935422753	0	0	935422753	47.37	935422753	0	935422753	47.37	0	47.37	0	0		935387015
C	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0	0	0		0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Total	343888	1974918000	0	0	1974918000	100	1974918000	0	1974918000	100	0	100	0	0		1974882262





Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)		PAN (II)	M s o (III)	No. of fully paid up equity shares held (IV)	Pa id eq sh held (V)	Nos sha under Deposits Received (VI)	Total nos. shares held (VII = IV+V+VI)	Share ding calcu d as SCR 195 (A+B+VII) (VII I)	Number of Voting Rights held in each class of securities (D)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholdin g, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		No. (a)	As a % of total Shares held (b)	N o . ( c a )	As a % of tot al sh are s hel d (b)	Number of equity shares held in dematerializ ed form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights									
									Class X	C	Tot al										
l	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0	
a	Individuals/Hindu undivided Family		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0	
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0	
	PRESIDENT OF INDIA	ZZZZZ 9999Z	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0	
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0	
d	Any Other (specify)		1	1039495247	0	0	1039495247	52.63	1039495247	0	1039495247	52.63	0	52.63	0	0				1039495247	
	Bodies Corporate		1	1039495247	0	0	1039495247	52.63	1039495247	0	1039495247	52.63	0	52.63	0	0				1039495247	
	Power Finance Corporation Limited	AAAC P1570 H	1	1039495247	0	0	1039495247	52.63	1039495247	0	1039495247	52.63	0	52.63	0	0				1039495247	
	Sub-Total (A)(1)		1	1039495247	0	0	1039495247	52.63	1039495247	0	1039495247	52.63	0	52.63	0	0				1039495247	



2	Foreign		0	0		0	0	0		0	0		0	0		0	0		0				0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0		0	0	0		0	0		0	0		0	0		0				0
b	Government		0	0		0	0	0		0	0		0	0		0	0		0				0
c	Institutions		0	0		0	0	0		0	0		0	0		0	0		0				0
d	Foreign Portfolio Investor		0	0		0	0	0		0	0		0	0		0	0		0				0
e	Any Other (specify)		0	0		0	0	0		0	0		0	0		0	0		0				0
	Sub-Total (A)(2)		0	0		0	0	0		0	0		0	0		0	0		0				0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	1	1039495247	0	0	1039495247	52.63	1039495247	0	1039495247	52.63	0	52.63	0	0								1039495247



Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity share s held (IV)	Partl y paid-up equit y shar es held (V)	Nos. of shar es und erlyi ng Dep osit ory Rec eipt s (VI)	Total nos. shares held VII = IV+V+VI	Shar ehold ing % calc ulate d as per SCR R, 1957 As a % of (A+B +C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shar es Unde rlyin g Outst andin g conv ertibl e secur ities	Total shareholdin g, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwis		Number of equity shares held in dematerializ ed form (XIV)
									No of Voting Rights			Total as a % of Total Votin g right s			No. (a)	As a % of total Shar es held (b)	No. (Not appli cable ) (a)	As a % of tota l shar es	
									Class X	Clas s Y	Tot al								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		16	185592101	0	0	185592101	9.4	185592101	0	185592101	9.4	0	9.4	0	0		185592101	
	HDFC TRUSTEE COMPANY LTD. A/C HDFC HYBRID DEBT FUND	AAATH1809A	1	170272125	0	0	170272125	8.62	170272125	0	170272125	8.62	0	8.62	0	0		170272125	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
e	Foreign Portfolio Investors		544	512090990	0	0	512090990	25.93	512090990	0	512090990	25.93	0	25.93	0	0		512090990	
	ABU DHABI INVESTMENT AUTHORITY - BEACON	AAACA14380N	1	26122618	0	0	26122618	1.32	26122618	0	26122618	1.32	0	1.32	0	0		26122618	
	UBS PRINCIPAL	AABCU17548R	1	22000000	0	0	22000000	1.11	22000000	0	22000000	1.11	0	1.11	0	0		22000000	





	CAPITAL ASIA LTD																	
	THE PRUDENTIAL ASSURANCE COMPANY LIMITED	AACCP 0514H	1	21586262	0	0	21586262	1.09	21586262	0	21586262	1.09	0	1.09	0	0		21586262
	THE WINDACRE PARTNERSHIP MASTER FUND LP	AAHAT 8121F	1	54878000	0	0	54878000	2.78	54878000	0	54878000	2.78	0	2.78	0	0		54878000
f	Financial Institutions/ Banks		5	3774838	0	0	3774838	0.19	3774838	0	3774838	0.19	0	0.19	0	0		3774838
g	Insurance Companies		4	58690900	0	0	58690900	2.97	58690900	0	58690900	2.97	0	2.97	0	0		58690900
	LIFE INSURANCE CORPORATION OF INDIA - ULIF00218091	AAACL 0582H	1	54897270	0	0	54897270	2.78	54897270	0	54897270	2.78	0	2.78	0	0		54897270
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(1)		569	760148829	0	0	760148829	38.49	760148829	0	760148829	38.49	0	38.49	0	0		760148829
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0



a	Individuals -	337088	134193649	0	0	134193649	6.8	134193649	0	134193649	6.8	0	6.8	0	0	134157911
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	336734	112151590	0	0	112151590	5.68	112151590	0	112151590	5.68	0	5.68	0	0	112115852
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	354	22042059	0	0	22042059	1.12	22042059	0	22042059	1.12	0	1.12	0	0	22042059
b	NBFCs registered with RBI	4	56345	0	0	56345	0	56345	0	56345	0	0	0	0	0	56345
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	6226	41023930	0	0	41023930	2.08	41023930	0	41023930	2.08	0	2.08	0	0	41023930
	Trusts	42	577280	0	0	577280	0.03	577280	0	577280	0.03	0	0.03	0	0	577280
	Non-Resident Indian (NRI)	3116	3521600	0	0	3521600	0.18	3521600	0	3521600	0.18	0	0.18	0	0	3521600
	Clearing member	182	1736778	0	0	1736778	0.09	1736778	0	1736778	0.09	0	0.09	0	0	1736778
	Other -QIB	10	18608431	0	0	18608431	0.94	18608431	0	18608431	0.94	0	0.94	0	0	18608431
	Other	1776	1889844	0	0	1889844	0.1	1889844	0	1889844	0.1	0	0.1	0	0	1889844
	Bodies Corporate	1099	14580591	0	0	14580591	0.74	14580591	0	14580591	0.74	0	0.74	0	0	14580591
	IEPF	1	109406	0	0	109406	0.01	109406	0	109406	0.01	0	0.01	0	0	109406





Sub-Total (B)(3)	343318	175273924	0	0	175273924	8.88	175273924	0	175273924	8.88	0	8.88	0	0		175238186
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	343887	935422753	0	0	935422753	47.37	935422753	0	935422753	47.37	0	47.37	0	0		935387015



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (i)	PAN (ii)	No. of shareholder (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total no. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv) (Not Applicable)
									No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
									Class X	Class Y	Total								
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0			0	



Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>
32	4988

Table III- Person in Concert

<b>Details of the shareholders acting as persons in Concert including their Shareholding</b>			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>

