

# आर ई सी लिमिटेड | REC Limited

(Formerly Rural Electrification Corporation Limited)
(भारत सरकार का उद्यम) / (A Government of India Enterprise)
Regd. Office: Core-4, SCOPE Complex, 7, Lodhi Road, New Delhi 110 003
Tel: +91-11-4309 1500 | Fax: +91-11-2436 0644 | Website: www.recindia.com
CIN: L40101DL1969GOI005095 | GST No.: 07AAACR4512R1Z3



Dated: January 20, 2020

SEC-1/187(2)/2020/19

Listing Department, National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051.	Corporate Relationship Department BSE Limited 1st Floor, Phiroze Jeejeebhoy Towers Dalal Street, Fort, Mumbai – 400 001.
Scrip Code RECLTD	Scrip Code—532955

Sub: Submission of Shareholding Pattern for the quarter ended on December 31, 2019.

Dear Sir(s),

In terms of Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Shareholding Pattern in the prescribed format for the quarter ended on December 31, 2019.

Thanking you,

Yours faithfully,

(J.S. Amitabh)

**Executive Director & Company Secretary** 

Encl: as above

Regional Offices: Bangalore, Bhopal, Bhubaneswar, Chennai, Guwahati, Hyderabad, Imphal, Jaipur, Jammu, Kolkata,

Lucknow, Mumbai, Panchkula, Patna, Raipur, Ranchi, Thiruvananthapuram & Vijayawada

State Offices

: Dehradun, Itanagar, Shillong, Shimla, Vadodara & Varanasi

Training Centre: REC Institute of Power Management & Training (RECIPMT), Hyderabad

1. Name of Listed Entity:REC Limited

Name of Elsted Entity: NEO Entitled
 Scrip Code/Name of Scrip/Class of Security: RECLTD
 Share Holding Pattern Filed under: 31b
 Share Holding Pattern as on: 31-Dec-2019
 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No
ı	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked- in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether the Listed Entity has issued any differential Voting Rights?	No
7	Whether the Listed Entity has issued any Warrants ?	No
8	Whether the listed entity has any significant beneficial owner?	No



Table I - Summary Statement holding of specified securities

Catego ry (l)	Category of shareholder (II)	Nos, of shareh olders (III)	shareh paid up olders equity	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)		s. olding res as a % id of total ) = no. of (V)+ shares	Number of Voting Rights held in each class of securities  (IX)  No of Voting Rights  Total as a % of (A+B+C)			No. of Sha res Und erlyi ng Outsta nding conveitible securities (inclu ding Warra nts)	Shareho Iding, as a % assumin gill conversi on of converti ble securities (as a percenta ge of diluted share capit	(a) % c tota She es hel	As a % of total Shar	Sh pled othe encur	nber of hares typed or erwise mbered XIII)  As a % of total Shar e s held (b)	Numb er of equity share sheld in demater aliz ed form	
							(A+B+ C2)	Clas s eg: X	Clas s eg:y	Tot al		(X)	(XI)= (VII)+(X) As a (A+B+ C2)					1
٨	Promoter & Promoter Group	1	1039495247	0	0	103949524 7	52 63	1039495247	100	10394952 47	52.63	0	52.63	0	0			10394952 47
В		284072	935422753	0	0	935422753	47.37	935422753	0	93542275 3	47.37	0	47.37	0	0			93538640 5
С	Non Promoter- Non Public	0	0	O	0	0	0	O	0	υ	υ	O	0	υ	0	0	0	0
CI	Shares underlying DRs	0	0	O	0	0	0	0	0	0	0	0	0	0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	b	0	0	0	0	0	0	0	υ	0			0
	l'otal	284073	1974918000	0	0	197491800 0	100	1974918000	0	19749180 00	100	0	100	o	0			19748816 52



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of shareh older (iii)	No. of fully paid up equity share s held	Partly paid-up equity shares held (V)	Nos. of shares underlyi ng Deposito ry Receipt s	nos. shares held (VII =	Shareho I ding % calculate d as per SCRR, 1957	each	class	ng Rights he of securities (DK)	s	No. of Shares Underlyin g Outstandi ng convertibl e securitie	full conversion of	Locke sha (X	ed in res (II)	ple oti enci	mber of chares deed or derwise umbered	Number of equity shares held in demateriali z ed form
			(IV)			4	As a % (A+B+C2 (VIII)	No of Vo	ting Ri	ghts Tot al	Total as a % of Total	(includin a (X)	of diluted share capital)	No. (a)	As a % of total Shar	N 0 . (	As a % of total share	(XIV)
									s s Y		Votin g rights		(XI) = (VII)+(X) as a % of A+B+C2		es held (b)	)	s held (b)	
Indian	-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	$\Box$		U
Individuals/Hindu undivided Family		0	0	0	0	0	0	0	0	0	o	0	0	0	0			0
Central Government State Government(s)		0	0	0	0	0	0	υ	0	0	O	0	0	υ	0			0
Financial Institutions/ Banks		0 .	0	0	0	0	0	0		0	o	O	0	υ	0			0
Any Other (specify)		I	10394952 47	11.		1039495247	52.63	103949524 7		10394952 47			52.63	O	0			1039495247
Bodies Corporate		l	10394952 47			1039495247	52.63	103949524 7		10394952 47	0.4421.00VIB		52.63	υ	0			1039495247
POWER FINANCE CORPORATION LTD	AAACP 1570H	I	10394952 47	0	0	1039495247	52.63	103949524 7	0	10394952 47	52.63	0	52.63	υ	0			1039495247
Sub-Total (A)(1)		l	10394952 47	)	0	1039495247	52.63	103949524 7	0	10394952 47	52.63	0	52.63	0	0			1039495247
Foreign		0	0	)	0	0	0	Ü	0	0	0	0	0	0	0			0
Individuals (Non- Resident Individuals Foreign Individuals)		0	0	0	0	0	0	0	0	D D	D	0	0	0	0			)
Government		0	0	)	0	0	0	0	0	0	0	0	0	0	0			
Institutions		0	0	)	0	0	0	0	00	0	0	0	0	0	0			)
Foreign Portfolio Investor		0	0	)	0	0	0	0	0	0	0	0	0	0	0	1	A Pa	
Any Other (specify)		0	0 (	)	0	0	0	U	)	0	0	0	0	υ	0 /	40.40	1.7	
Sub-Total (A)(2)		0	P P	)	0	0	0	0	)	0	0	P .	0	0	0			1.6
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	103949520 47	)	0	1039495247	52.63	103949524 7	)	10394952 47	52.63	0	52.63	0	0	100	C.Lin	039495247

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Par tly pai d- up eq	Nos. of shares underl ying Deposit ory	Total nos. shares held <u>VII</u> = <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957	Number		ng Rights he of securities (IX)		No. of Shares Underlyi ng Outstandi ng	Total shareholdin g, as a % assuming full conversion	Lock	ber of ked in ares (II)	pled oth encu	nber of hares iged or erwise mbered XIII)	Number of equity shares held in demateri
				s held (IV)	uit y sha res hel d (V)	Receip ts (VI)		As a % of (A+B+C2) VIII	No of Vo	Cla s s y	Tot al	Total as a % of Total Voting rights	convertib le securitie s (includin g Warrants ) (X)	of convertible securities (as a percentage of diluted share capital) (XI)	No. (a)	As a % of total Shar es held (b)	No. (No t ap pli ca ble ) (a)	% of total share s held (Not applic able)	aliz ed form (XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
а	Mutual Funds/		9	152980258	0	0	152980258	7.75	152980258	0	152980258	7.75	0	7.75	0	0			152980258
	HDFC TRUSTEE COMPANY LIMITED-HDFC EQUITY FUND	AAATH 1809A		129543246	0	0	129543246	6.56	129543246	0	129543246	6.56	0	6.56	0	0			129543246
ь	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0 .	0	0			0
c	Alternate Investment Funds		0	0	0	0	0	0		0	0	0	0	0	0	O			0
d	Foreign Venture Capital Investors		0	0	0	0	0	О		0	0:	D .	0	0	0	0	-		0
e	Foreign Portfolio Investors		647	568454292		0	568454292	28.78	568454292		568454292		0	28.78	0	0			568454292
	EASTSPRING INVESTMENTS - DEVELOPED AND EMERGING AS	ААЛЛЕ 9765Н		22973733	0	0	22973733	1.16	22973733	О	22973733	1.16	O	1.16	0	0			22973733
	INDIA CAPITAL FUND LIMITED	ΛΑΛCM 3867E	1	21877026	)	0	21877026	i.H	21877026	υ	21877026	1.11	Ο	1,11	0	υ			21877026
	THE PRUDENTIAL ASSURANCE COMPANY LIMITED	AACCP0 514II	1	28617318	)	0	28617318	1.45	28617318	0	28617318	1 45	0	1.45	o	0			28617318
ſ	Financial Institutions/ Banks		8	4323433	)	0	4323433	0.22	4323433	0	4323433	0.22	0	0.22	0	0			4323433
g	Insurance Companies		4	58707336	)	0	58707336	2.97	58707336	0	58707336	2.97	0	2.97	0	0	T.	S	58707336
	OF INDIA - ULIF00320091	AAACL 0582H	1	54913706	)	0	54913706	2.78	54913706	0	54913706	2.78	0	2.78	0			1 52/03/	54913706
h	Provident Funds/ Pension Funds		0	0 0	)	0	0	0	0	υ	0	0	0	0	0	0/150		100 N	)
į	Any Other (specify)		0	0 0		0	0	0	0	0	0	0	0	0	0	0	C. A.		)

Sub-Total (B)(1)	668	784465319	0 0	784465319	39.72	784465319	0	784465319	39.72	0	39.72	0	O			784465319
Central Government/ State Government(s)/ President of India	0	0	0 0	0	0	0	0	0	0	0	0	O	0			0
Sub-Total (B)(2)	0	0	0 0	0	0	0	0	0	0	0	0	0	0			0
Non-institutions	0	0 (	0 0	0	0	0	0	0	0	0	0	0	0	0	0	0
Individuals -	277984	111490779	0 0	111490779	5.65	111490779	0	111490779	5.65	0	5.65	0	0	0	0	11145443
Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	277680	92175420	0	92175420	4.67	92175420	0	92175420	4.67	О	4.67	o	O			92139072
Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	304	19315359	0	19315359	0.98	19315359	0	19315359	0.98	O	0.98	o	O			19315359
NBFCs registered with RBI	3	2345	0	2345	0	2345	0	2345	0	0	0	0	0			2345
Employee Trusts	0	0 (	0 0	0	0	0	υ	0	0	0	0	0	0			0
Overseas Depositories (holding DRs) (balancing figure)	O	0	0	O	0	0	0	0	0	0	0	0	0			0
Any Other (specify)	5417	39464310 (	0	39464310	2	39464310	0	39464310	2	0	2	0	0			39464310
Trusts	38	3690857	0	3690857	0.19	3690857	0		0.19	0	0.19	0	0			3690857
Non-Resident Indian (NRI)	2592	3100177	0	3100177	0.16	3100177	0	3100177	0.16	ο	0.16	0	0			3100177
Clearing member	196	897273	0	897273	0.05	897273	0	897273	0.05	0	0.05	0	0			897273
Other	8	14060403	0	14060403	0.71	14060403	0	14060403	0.71	0	0.71	0	0			14060403
Other	1555	1458152	0	1458152	0.07	1458152	0	1458152	0.07	0	0.07	0	0			1458152
Bodies Corporate	1027	16150881	0	16150881	0.82	16150881	0	16150881	0,82	0	0.82	0	0			16150881
LEPF	1	106567	) 0	106567	0.01	106567	0	106567	0.01	0	10.01	0	0			106567
Sub-Total (B)(3)	283404	1509574340	0	150957434	7.65	150957434	0	150957434	7.65	0	7.65	0	υ		T	15092108
Fotal Public Shareholding (B)= (B)(1)+(B)(2)+(B) (3)	284072	9354227530	0	935422753	47,37	935422753	0	935422753	47.37	O	47 37	0	0	्रा ।	111	935386405

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the	(II) shareh		No. of fully paid up equity share s held	Partiy paid-up equity shares held	Nos. of shares underlyin g Depositor y Receipts	(VII = (V+V+VI)	Sharehol ding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (Viii)	Numb ea	ich class	ng Righ of secu IX)	ts held in ritles	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholdin g, as a % assuming full conversion of convertible securities (	Lock sha	ber of ed in ares (II)	SI pled oth encu	nber of hares Iged or erwise mbered	Number of equity shares held in demateriali z ed form (XIV)
				(IV)				No of Voting Rights 7		Total as a % of Total	(X)	as a percentage of diluted share	No. As a % of total		No. As	As a % of total	`(Not Applicable )		
									Class X	Class Y	Tot al	Voting rights		capital) (XI)		Shar es held	ap pli ca ble )	share s held (Not applic able)	
1	Custodian/DR Holder		0	0	O .	U	υ	υ	υ	0	0	υ	0		0	υ			0
Ŀ	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	9	0	0	0	0	0	0	0	0	0	0	0	þ	0	0			0
	Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	О	0	0	0	0	0	0	0	0

### Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign	Nationality	Details of holding/	Date of creation / acquisition of				
		national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant beneficial interest

#### Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders

No of share held

#### Table III- Unclaim Details

	nimed may be given here along with details such as number of shareholders, mat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held	
36	5478	

## Table III- Person in Concert

Details of the shareh	olders acting as person	s in Concert including thei	r Shareholding	
Name of shareholder	Name of PAC	No. of share	Holding %	

