

SEC-1/187(2)/2019/323

Dated: October 16, 2019

<b>Listing Department,</b> National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (East), <b><u>Mumbai – 400 051.</u></b>	<b>Corporate Relationship Department</b> BSE Limited 1 <sup>st</sup> Floor, Phiroze Jeejeebhoy Towers Dalal Street, Fort, <b><u>Mumbai – 400 001.</u></b>
<b>Scrip Code-- RECLTD</b>	<b>Scrip Code— 532955</b>

**Sub: Submission of Shareholding Pattern for the quarter ended on September 30, 2019.**

Dear Sir(s),

In terms of Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Shareholding Pattern in the prescribed format for the quarter ended on September 30, 2019.

Thanking you,

Yours faithfully,



(J.S. Amitabh)

Executive Director & Company Secretary

Encl: as above

1. Name of Listed Entity: REC Limited
2. Scrip Code/Name of Scrip/Class of Security: RECLTD
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	No	



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)  (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
A	Promoter & Promoter Group	1	1039495247	0	0	1039495247	52.63	1039495247	0	1039495247	52.63	0	0	0	0	0	1039495247	
B	Public	289870	935422753	0	0	935422753	47.37	935422753	0	935422753	47.37	0	0	0	0	0	935383993	
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total	289871	1974918000	0	0	1974918000	100	1974918000	0	1974918000	100	0	0	0	0	0	1974879240	





Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding %, as assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
								Class X	Class Y	Total							
1 Indian		0	0	0	0	0	0	0	0	0	0	0	0	0			0
a Individuals/Hindu undivided Family		0	0	0	0	0	0	0	0	0	0	0	0	0			0
b Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
c Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0			0
d Any Other (specify)		1	10394952047	0		1039495247	52.63	1039495247	0	1039495247	52.63	0	52.63	0	0		1039495247
		1	10394952047	0		1039495247	52.63	1039495247	0	1039495247	52.63	0	52.63	0	0		1039495247
	POWER FINANCE CORPORATION LTD	AAACP 1570H	1	10394952047	0		1039495247	52.63	1039495247	0	1039495247	52.63	0	52.63	0	0	1039495247
	Sub-Total (A)(1)		1	10394952047	0		1039495247	52.63	1039495247	0	1039495247	52.63	0	52.63	0	0	1039495247
2 Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0			0
a Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
b Government		0	0	0	0	0	0	0	0	0	0	0	0	0			0
c Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0			0
d Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0			0
e Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	10394952047	0		1039495247	52.63	1039495247	0	1039495247	52.63	0	52.63	0	0	1039495247

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C 2) VIII	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
									Class X	Class Y	Total								
I	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		14	141195580	0	0	141195580	7.15	141195580	0	141195580	7.15	0	7.15	0	0		141195580	
	HDFC TRUSTEE COMPANY LIMITED-HDFC EQUITY FUND	AAATH1809A	1	118324001	0	0	118324001	5.99	118324001	0	118324001	5.99	0	5.99	0	0		118324001	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
e	Foreign Portfolio Investors		652	571401385	0	0	571401385	28.93	571401385	0	571401385	28.93	0	28.93	0	0		571401385	
	EASTSPRING INVESTMENTS - DEVELOPED AND EMERGING AS	AAAAE9765H	1	22973733	0	0	22973733	1.16	22973733	0	22973733	1.16	0	1.16	0	0		22973733	
	ABU DHABI INVESTMENT AUTHORITY - ARGON	AAACA4380N	1	19805349	0	0	19805349	1	19805349	0	19805349	1	0	1	0	0		19805349	
	INDIA CAPITAL FUND LIMITED	AAACM3867E	1	19908888	0	0	19908888	1.01	19908888	0	19908888	1.01	0	1.01	0	0		19908888	
	THE PRUDENTIAL ASSURANCE COMPANY LIMITED	AAACP01514H	1	28654620	0	0	28654620	1.45	28654620	0	28654620	1.45	0	1.45	0	0		28654620	
f	Financial Institutions/ Banks		10	6034237	0	0	6034237	0.31	6034237	0	6034237	0.31	0	0.31	0	0		6034237	
g	Insurance Companies		4	58707336	0	0	58707336	2.97	58707336	0	58707336	2.97	0	2.97	0	0		58707336	
	LIFE INSURANCE CORPORATION OF INDIA	AAACI0582H	1	54913706	0	0	54913706	2.78	54913706	0	54913706	2.78	0	2.78	0	0		54913706	



	INDIA																		
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)	680	777338538	0	0	777338538	39.36	777338538	0	777338538	39.36	0	39.36	0	0			777338538	
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -	283622	114692966	0	0	114692966	5.81	114692966	0	114692966	5.81	0	5.81	0	0	0	0	114654206	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	283305	94575253	0	0	94575253	4.79	94575253	0	94575253	4.79	0	4.79	0	0			94536493	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	317	20117713	0	0	20117713	1.02	20117713	0	20117713	1.02	0	1.02	0	0			20117713	
b	NBFCs registered with RBI	5	38845	0	0	38845	0	38845	0	38845	0	0	0	0	0	0	0	38845	
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Any Other (specify)	5563	43352404	0	0	43352404	2.2	43352404	0	43352404	2.2	0	2.2	0	0			43352404	
	Trusts	39	4897614	0	0	4897614	0.25	4897614	0	4897614	0.25	0	0.25	0	0			4897614	
	Non-Resident Indian (NRI)	2687	3141145	0	0	3141145	0.16	3141145	0	3141145	0.16	0	0.16	0	0			3141145	
	Clearing member	161	3102195	0	0	3102195	0.16	3102195	0	3102195	0.16	0	0.16	0	0			3102195	
	Other	8	11257439	0	0	11257439	0.57	11257439	0	11257439	0.57	0	0.57	0	0			11257439	
	Other	1547	1325278	0	0	1325278	0.07	1325278	0	1325278	0.07	0	0.07	0	0			1325278	
	Bodies Corporate	1120	19531694	0	0	19531694	0.99	19531694	0	19531694	0.99	0	0.99	0	0			19531694	
	IEPF	1	97039	0	0	97039	0	97039	0	97039	0	0	0	0	0			97039	
	Sub-Total (B)(3)	289190	158084215	0	0	158084215	8.01	158084215	0	158084215	8.01	0	8.01	0	0			158045455	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	289870	935422753	0	0	935422753	47.37	935422753	0	935422753	47.37	0	47.37	0	0			935383993	



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. share s held (VII) = IV+V+VI	Sharehol ding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlyin g Outstandin g convertibl e securities (including Warrants) (X)	Total sharehold in g, as a % assumin g full conversi on of convertib le securitie s ( as a percenta ge of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Numb er of equit y shares held in demate rialized form (XIV) (Not Applic able)
									No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of tota l Sha r es hel d	No. (Not ap pli cable )	As a % of total shar e s held (Not appli cable)	
									Clas s X	Clas s Y	To t al								
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non-Promoter-Non Public Shareholding (C)= (CX1)+(CX2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	



Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
36	5478

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %

